



Application No. (if known): 10/518,148

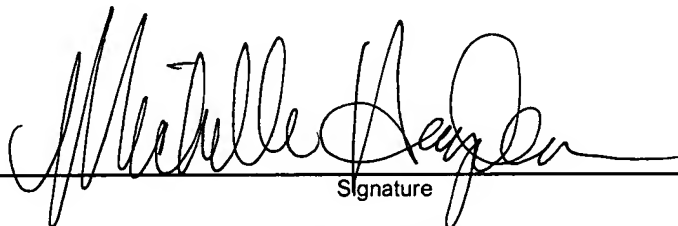
Attorney Docket No.: 08228/068001

Certificate of Express Mailing Under 37 CFR 1.10

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MS Issue Fee
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on March 8, 2006
Date



Signature

Michelle Hayden

Typed or printed name of person signing Certificate

Registration Number, if applicable

(713) 228-8600
Telephone Number

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Transmittal (1 page)
Fee Transmittal (1 page)
Part B - Fee(s) Transmittal (1 page)
Statement Claiming Small Entity Status (2 pages)
Transmittal of Drawing Correction (2 pages)
Drawing(s) (12 Figs., 10 Sheets)
Payment by credit card. Form PTO-2038 is attached (1 page)
Charge \$709.00 to credit card



PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number 10/518,148-Conf. #7920

Filing Date December 17, 2004

First Named Inventor Hisao Sato

Art Unit 2814

Examiner Name B. Kunzer

Attorney Docket Number 08228/068001

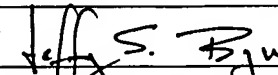
ENCLOSURES (Check all that apply)

☒ Fee Transmittal Form☒ Fee Attached☐ Amendment/Reply☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☐ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Reply to Missing Parts/
Incomplete Application☐ Reply to Missing Parts under
37 CFR 1.52 or 1.53☒ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a
Provisional Application☐ Power of Attorney, Revocation
Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s) _____☐ Landscape Table on CD☐ After Allowance Communication
to TC☐ Appeal Communication to Board of
Appeals and Interferences☐ Appeal Communication to TC
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s) (please
Identify below):Part B - Fee(s) Transmittal
Change in Small Entity Status
Submission of Drawings
Return Receipt Postcard

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name OSHA · LIANG LLP

Signature  45,925

Printed name Jonathan P. Osha

Date March 8, 2006

Reg. No. 33,986



Under the Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it displays a valid OMB control number.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL
For FY 2006☒ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT**(\$)**709.00****Complete if Known**

Application Number	10/518,148-Conf. #7920
Filing Date	December 17, 2004
First Named Inventor	Hisao Sato
Examiner Name	B. Kunzer
Art Unit	2814
Attorney Docket No.	08228/068001

METHOD OF PAYMENT (check all that apply)

☐ Check ☒ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____

☒ Deposit Account Deposit Account Number: **50-0591** Deposit Account Name: **Osha · Liang LLP**

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

FEE CALCULATION (All the fees below are due upon filing or may be subject to a surcharge.)**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

Total Claims Extra Claims Fee (\$) Fee Paid (\$)

20 - 20 = x =

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims Extra Claims Fee (\$) Fee Paid (\$)

1 - 3 = x =

HP = highest number of independent claims paid for, if greater than 3.

Multiple Dependent Claims

Fee (\$) Fee Paid (\$)

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$)

_____ - 100 = _____ / 50 _____ (round up to a whole number) x _____ = _____

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): 2501 Utility issue fee 700.00

8001 Printed copy of patent w/o color 9.00

SUBMITTED BY

Signature	<i>Jonathan R. Osha</i>	Registration No. (Attorney/Agent)	33,986	Telephone	(713) 228-8600
Name (Print/Type)	Jonathan R. Osha	Date	March 8, 2006		



Docket No.: 08228/068001
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Hisao Sato et al.

Allowed: December 30, 2005

Application No.: 10/518,148

Confirmation No.: 7920

Filed: December 17, 2004

Art Unit: 2814

For: GALLIUM-NITRIDE-BASED LIGHT-
EMITTING APPARATUS

Examiner: B. Kunzer

STATEMENT CLAIMING SMALL ENTITY STATUS –

SMALL BUSINESS CONCERN UNDER 37 CFR 1.27

MS Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

The Applicant submits the following:

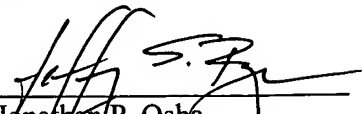
1. The present application is that of four inventors, Hisao Sato, Naoki Wada, Shiro Sakai and Masahiro Kimura.
2. The inventors have assigned their entire right, title, and interest for the United States in and to this application to Nitride Semiconductors Co., Ltd. A copy of the executed assignment is attached.

Nitride Semiconductors Co., Ltd. is a small business entitled to claim small entity status.

Applicant believes no fee is due with this declaration. However, if a fee is due, please charge our Deposit Account No. 50-0591, under Order No. 08228/068001 from which the undersigned is authorized to draw.

Dated: March 8, 2006

Respectfully submitted,

By 
Jonathan P. Osha
Registration No. 33,986
OSHA LIANG LLP
1221 McKinney St., Suite 2800
Houston, Texas 77010
(713) 228-8600
(713) 228-8778 (Fax)



Docket No.: 08228/068001
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Hisao Sato et al.

Application No.: 10/518,148

Allowed: December 30, 2005

Filed: December 17, 2004

Art Unit: 2814

For: GALLIUM-NITRIDE-BASED LIGHT-
EMITTING APPARATUS

Examiner: B. Kunzer

TRANSMITTAL OF DRAWING CORRECTION

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Submitted herewith is one set (ten sheets, twelve figures) of corrected drawings for filing in the above-identified patent application.

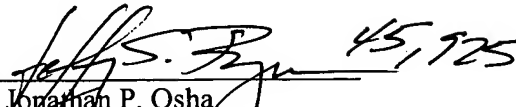
The Examiner objected to the drawings for reasons stated in the Office Action (Paper No. 20051220) dated December 30, 2005. Specifically, in Figures 9a, 9b, 10a, and 10b the legend "Prior Art" should appear. The enclosed new drawings correct these informalities. Kindly substitute the enclosed drawings for the drawings submitted on December 14, 2004.

Favorable consideration of the enclosed drawings is respectfully requested.

Applicant believes no fee is due with this response. However, if a fee is due, please charge our Deposit Account No. 50-0591, under Order No. 08228/068001 from which the undersigned is authorized to draw.

Dated: March 8, 2006

Respectfully submitted,

By  45,925
Jonathan P. Osha
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1221 McKinney St., Suite 2800
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(713) 228-8600
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